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PTO/SB/01 (12-97)

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## **DECLARATION FOR UTILITY OR DESIGN** PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing

☑ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorn y Dock t Num	nber MAJOR-062A
First Named Inventor	Petr Husek
COMPLE	TE IF KNOWN
Application Number	09 / 518,342
Filing Date	03/03/00
Group Art Unit	Unknown
Examiner Name	Unknown

As a below named inv	entor, I hereby declare that:					
	e address, and citizenship are					
	al, first and sole inventor (if on of the subject matter which is	s claimed and for which a pa	atent is sought o	first and joint inve	entor (if plural intitled:	
	SORBENT CARTRIDGE FOR SOLID PHASE EXTRACTION					
the specification of which is attached hereto OR	do	ille of the Invention)				
was filed on (MM/)	(DD/YYYY) 03/03/0	0 as Unite	d States Applica	ation Number or F	PCT International	
Application Number 09	9/518,342 and w	was amended on (MM/DD/Y			_	
I hereby state that I have i	reviewed and understand the	contonts of the above life as		· '	(if applicable).	
• •		5010.	•		:laims, as	
I acknowledge the duty to	disclose information which is	material to patentability as	defined in 37 Cf	FR 1.56.		
hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.						
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Cop YES	py Attached?	
PV 769-99	Czech Republic					
7 Additional foreign applic	- Line and the second s					
I hereby claim the benefit	ation numbers are listed on a under 35 U.S.C. 119(e) of any	supplemental priority data s	sheet PTO/SB/0	2B attached here	ato:	
Application Number	(s) Filing Date	y United States provisional a	application(s) list	ted below.		
	in in Said	(MINUDE/TTT)	numbe supplei	onal provisional ers are listed on emental priority ( SB/02B attached	n a data sheet	

[Page 1 of 2]

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## **DECLARATION** — Utility or Design Patent Application

United States	s or PCT	International a	application i	of any United S sofar as the su n the manner pr s defined in 37 ( of this application	ovided by	or bacil	01 111	e claims of	this appl	ication	is not disclos	ed in the prior
U.S. Parent Application or PCT Parent Number			Parent Filing Date Pa			Par	arent Patent Number					
			intber	<del></del>		(MI	N/DE	D/YYYY)			(if applic	able)
Additiona	al U.S. o	r PCT internati	onal applica	alion numbers a	re listed on	a suppler	nenta	l priority dat	a sheet F	TO/SB.	/02B attached	d hereto
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Country				Telephon					Fax	(94	9) 855-	6371
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						nd belief are so made are alidity of the						
Name of So	ole or I	First Invent	or:			□ А ре	tition	has been	filed for	this ur	nsigned inv	entor
		me (first and	middle [if	any])				Family	Name	or Sur	name	
Pet	tr -					 	IUSE	EK				. 1
Inventor's Signature		NU NU	S.#	uno				3			Date	22/05/00
Residence: C	ity	4 Nad p Praha 4	ru <b>h/y</b> /	State	Z-147	Count	ry	Czech	Repul	olid	Citizenship	Czećh
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Additional i	nvento	rs are being r	named on	the supp	lemental	Addition	al Inv	rentor(s) si			D/00A attack	

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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT CLAIMING SMALL ENTITY STATUS Docket Number (Optional) (37 CFR 1.9(f) & 1.27(c))-SMALL BUSINESS CONCERN MAJOR-062A PADEMA Applicant, Patentee, orldentifler Petr Husek Application or Patent No.: Unknown FiledorIssued: Herewith Title: SORBENT CARTRIDGE FOR SOLID PHASE EXTRACTION I hereby state that I am the owner of the small business concern identified below; an official of the small business concern empowered to act on behalf of the concern identified below: NAME OF SMALL BUSINESS CONCERN\_\_PHENOMENEX, INC. ADDRESS OF SMALL BUSINESS CONCERN 2320 West 205th Street Torrance, California 90501 I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416. I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in: the specification filed herewith with title as listed above. the application identified above. the patent identified above. If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small antitles, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). Each person, concern, or organization having any rights in the invention is listed below: [X] no such person, concern, or organization exists. each such person, concarn, or organization is listed below. Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27) I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) NAME OF PERSON SIGNING Fasha Mahjoor TITLE OF PERSON IF OTHER THAN OWNER \_ President ADDRESS OF PERSON SIGNING 2320 West 205th Street, Torrance, California 90501 SIGNATURE

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Application Number	09/518,342	
Filing Date	03/03/00	
First Named Inventor	Petr Husek	
Group Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket Number	MAJOR-062A	

X A Power of	Attorney or Authorization of Agen	it is submitted	herewith.		·
	ge the correspondence address f	for the above-	identified any	-!:tion	*=:
X Custo OR	mer Number 007663		- L	Place (	Customer er Bar Code
Firm or Individual Name	STETINA BRUNDA GARRE	D & BRUCKER	₹		
Address	24221 CALLE DE LA LOU	IITSA			•
Address	4TH FLR.	710/1			
City	LAGUNA HILLS	<u> </u>			
Country	U.S.A.	State	CA	T	92653-3642
Telephone	(949) 855-1246	Fax	(949) 85	5-6371	1
Applicant.  Assignee of Certificate (	f record of the entire interest under 37 CFR 3.73(b) is enclosed	1			
	SIGNATURE of Applicant or A	esignee of Re	cord		
ame FASHA	MAHJOOR, President of Phe	enomenex, 1	nc.	_ <u></u> _	
ame FASHA	MAHJOOR, President of Phi	enomenex, 1	Inc.	<del></del>	

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## CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: Petr Husek
Application No.: 09/518,342 Filed: March 3, 2000
For: SORBENT CARTRIDGE FOR SOLID PHASE EXTRACTION
Phenomenex, Inc.  (Name of Assignee)  , a California corporation  (Type of Assignee, e.g., corporation, partnership, etc.)
certifies that it is the assignee of the entire right, title and interest in the patent application identified by virtue of either:
A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B. [ ] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
1. From: To: The document was recorded in the Patent and Trademark Office at
The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From: To:
The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To: The document was recorded in the Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
[ ] Additional documents in the chain of title are listed on a supplemental sheet.
[ ] Copies of assignments or other documents in the chain of title are attached.
The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
Date: 7/10/00
Name: Lowell Anderson Zowell Anderson
Registration No.: 30,990 (if applicable)
Title:
Signature: Jowell Andle

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Docket Nu

ASSIGNMENT OF APPLIC	ATION	MAJOR-062A
Whereas, I, Petr Husek		
referred to as applicant, have invented certain new		
SORBENT CARTRIDGE FOR SOLID	PHASE EXTRACTIO	N
for which an application for a United States Application Number 09 / 518, 342 for which an application for a United States	•	
Whereas. Phenomenex. Inc. of 2320 sassignee" whose post office address is		
sirous of acquiring the entire right, title and interest	in the same;	
Now, therefore, in consideration of the sum of <u>on</u>	edollars (\$ 1.00	_), the receipt whereof is ac-
knowledged, and other good and valuable consider	ation, I, the applicant, by th	nese presents do sell, assign
and transfer unto said assignee the full and exclusiv	e right to the said invention	n in the United States and the
entire right, title and interest in and to any and all Pat	ents which may be granted	therefor in the United States,
I hereby authorize and request the Commissioner of	of Patents and Trademark	s to issue said United States
Patent to said assignee, of the entire right, title, and	interest in and to the same	, for his sole use and heboof
and for the use and behoof of his legal representativ		·
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be granted, as fully and entirely as the same would be	lave been held by hie had	uns assignment and sale not
been made.	_	
Executed this day of _	71 -	2000
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State of CALIFORNIA SS:	(5	Signature)
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and acknowledged the foregoing instrument to be his		OTH.
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THERESIA SPONTELLI
Commission # 1160514
Notary Public - California
Los Angeles County
My Comm. Expires Nov 3, 2001
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